

Attorney Docket No. 20341/72630
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew W. MARSDEN

Conf. No.: Not yet assigned

Serial No.: 10/698,613

Art Unit: Not yet assigned

Filed: October 31, 2003

Examiner: Not yet assigned

For: CORD SHORTENER

SUBMISSION UNDER 37 C.F.R. §1.56, §1.97 & §1.98
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

To comply with the duty of disclosure set forth in 37 C.F.R. §1.56, the prior art listed on the attached PTO-1449, including the device listed at "AY", is submitted herewith to the Examiner for consideration in connection with the examination of the above-identified application.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 10-0435 (20341/72630).

Respectfully submitted,
BARNES & THORNBURG

A handwritten signature in black ink, appearing to read "Richard P. Krinsky".

Richard P. Krinsky
Registration No. 47,470
(202) 289-1313

Enclosures



| | | |
|---|--|----------------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | ATTY DOCKET No. 20341/72630 | SERIAL No. 10/698,613 |
| | APPLICANT ANDREW W. MARSDEN | |
| | FILING DATE OCTOBER 31, 2003 | GROUP NOT YET ASSIGNED |
| | | |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|---------|----------------|-------|----------|-------------------------------|
| | AA | 4,726,536 | 02/1988 | LERNER ET AL. | | | |
| | AB | 2,364,262 | 12/1944 | WEHRINGER | | | |
| | AC | 5,669,571 | 09/1997 | GRAYBILL | | | |
| | AD | 3,381,916 | 05/1968 | EDGELL | | | |
| | AE | 4,150,798 | 04/1979 | ARAGON | | | |
| | AF | 2,952,420 | 09/1960 | VON HOORN | | | |
| | AG | 5,613,648 | 03/1997 | PAAVILA | | | |
| | AH | 5,992,787 | 11/1999 | BURKE | | | |
| | AI | 3,782,654 | 01/1974 | KASA | | | |
| | AJ | 4,901,938 | 02/1990 | CANTLEY ET AL. | | | |
| | AK | 4,073,449 | 01/1978 | PILA | | | |
| | AL | 447,889 | 03/1891 | MATTESON | | | |
| | AM | 2,576,335 | 11/1951 | FANSLOW | | | |
| | AN | 3,208,121 | 09/1965 | PRINCE | | | |
| | AO | 6,324,285 | 11/2001 | DOWSETT ET AL. | | | |
| | AP | 4,946,010 | 08/1990 | DiBONO | | | |
| | AQ | 4,940,859 | 07/1990 | PETERSON | | | |
| | AR | 4,844,373 | 07/1989 | FIKE, SR. | | | |
| | AS | 4,705,484 | 11/1987 | LERNER ET AL. | | | |
| | AT | 5,772,152 | 06/1998 | MALDONADO | | | |
| | AU | Des 341,076 | 11/1993 | KOLTON | | | |
| | AV | Des 419,426 | 01/2000 | KOVACIK ET AL. | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AW | | | | | | | |
| | AX | | | | | | | |

OTHER PRIOR ART *(Including Author, Title, Date Pertinent Pages, Etc.)*

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|--|----|--|
| | AY | Cord Short'ner device, Safety 1 st , unknown date (at least as early as June, 2002) |
| | AZ | |

EXAMINER:

DATE CONSIDERED:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.